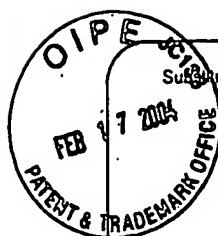


Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.



Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

Application Number	10/706,211
Filing Date	November 12, 2003
First Named Inventor	Richard L. Bonkowski
Art Unit	Unknown 1772
Examiner Name	Unknown A. CHEVALIER
Attorney Docket Number	48930-01701

Sheet 1 of 5

U.S. PATENT DOCUMENTS

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)				
AR	1	US-	3,011,383	12/1961	Sylvester, et al.	
	2	US-	4,756,771	07/12/88	Brodalla, et al.	
	3	US-	4,779,898	10/25/88	Berning, et al.	
	4	US-	5,002,312	3/26/91	Phillips, et al.	
	5	US-	5,009,486	04/23/91	Dobrowolski, et al.	
	6	US-	5,084,351	01/28/92	Phillips, et al.	
	7	US-	5,171,363	12/15/92	Phillips, et al.	
	8	US-	5,364,467	11/15/94	Schmid, et al.	
	9	US-	5,648,165	07/15/97	Phillips, et al.	
	10	US-	5,650,248	07/22/97	Miekka, et al.	
	11	US-	5,763,086	06/09/98	Schmid, et al.	
	12	US-	5,858,078	01/12/99	Andes, et al.	
	13	US-	5,912,767	06/15/99	Lee	
	14	US-	5,989,626	11/23/99	Coombs, et al.	
	15	US-	6,013,370	01/11/00	Coulter, et al.	
	16	US-	6,045,230	04/04/00	Dreyer, et al.	
	17	US-	6,068,691	05/30/00	Miekka, et al.	
	18	US-	6,112,388	09/05/00	Kimoto, et al.	
	19	US-	6,168,100 B1	01/02/01	Kato, et al.	
A	20	US	6,241,858 B1	06/05/01	Phillips, et al.	

FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	
		Country Code ³ - Number ⁴ - Kind Code ⁶ (if known)				
AR	21	DE 43 43 387	06/25/95			<input type="checkbox"/>
I	22	EP 0 756 945	02/05/97			<input type="checkbox"/>
I	23	WO 00/08596	02/17/00			<input type="checkbox"/>
AR	24	WO 98/12583	03/26/98			<input type="checkbox"/>
						<input type="checkbox"/>
						<input type="checkbox"/>

Examiner
Signature

Alicia Chevalier

Date
Considered

1-18-05

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the complete application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

Application Number	10/706,211
Filing Date	November 12, 2003
First Named Inventor	Richard L. Bonkowski
Art Unit	Unknown 1772
Examiner Name	Unknown A. CHEVALIER
Attorney Docket Number	48930-01701

Sheet 2 of 5

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)				
A	25	US-	4,066,280	01/03/78	LaCapria	
	26	US-	4,126,373	11/21/78	Moraw	
	27	US-	4,155,627	05/22/79	Gale, et al.	
	28	US-	4,168,983	09/25/79	Vittands, et al.	
	29	US-	4,930,866	06/05/90	Berning, et al.	
	30	US-	5,009,486	04/23/91	Dobrowolski, et al.	
	31	US-	5,106,125	04/21/92	Antes	
	32	US-	5,214,530	05/25/93	Coombs, et al.	
	33	US-	5,254,390	10/1993	Shih-Lai Lu	
	34	US-	5,339,737	08/23/94	Lewis, et al.	
	35	US	5,464,710	11/07/95	Yang	
	36	US-	5,549,953	08/1996	Li Li	
	37	US-	5,591,527	01/1997	Shih-Lai Lu	
	38	US-	5,700,550	12/23/97	Uyama, et al.	
	39	US-	5,856,048	01/1999	Tahara, et al.	
	40	US-	6,031,457	02/29/00	Bonkowski, et al.	
	41	US-	6,150,022	11/2000	Coulter, et al.	
	42	US-	6,157,489	12/05/00	Bradley, Jr., et al.	
	43	US-	6,242,510 B1	06/05/01	Killey	
	A	44	US-	6,243,204 B1	06/05/01	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				

Examiner
SignatureDate
Considered

1-18-05

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the complete application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)			Complete if Known		
			Application Number	10/706,211	
			Filing Date	November 12, 2003	
			First Named Inventor	Richard L. Bonkowski	
			Art Unit	Unknown 1772	
Examiner Name	Unknown A. CHEVALIER				
Attorney Docket Number	48930-01701				
Sheet	3	of	5		

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ^{2(a) known}				
R	45	US-	Re.35,512	05/20/97	Nowak, et al.	<u>C125/540</u>
	46	US-	4,168,983	09/25/79	Vittands, et al.	
	47	US-	4,434,010	02/28/84	Ash	
	48	US-	4,705,300	11/10/87	Berning, et al.	
	49	US-	4,705,356	11/10/87	Berning, et al.	
	50	US-	4,721,217	01/26/88	Phillips, et al.	
	51	US-	4,838,648	06/13/89	Phillips, et al.	
	52	US-	5,059,245	10/22/91	Phillips, et al.	
	53	US-	5,084,351	01/28/92	Phillips, et al.	
	54	US-	5,135,812	08/04/92	Phillips, et al.	
	55	US	5,171,363	12/15/92	Phillips, et al.	
	56	US-	5,278,590	01/11/94	Phillips, et al.	
R	57	US-	5,279,657	01/18/94	Phillips, et al.	
	58	US-	5,549,774	08/27/96	Miekka, et al.	
	59	US-	5,571,624	11/05/96	Phillips, et al.	
	60	US-	5,624,076	04/29/97	Miekka, et al.	
	61	US-	5,629,068	05/13/97	Miekka, et al.	
	62	US-	5,672,410	09/30/97	Miekka, et al.	
		US-				
		US-				

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				

Examiner Signature	<i>Alicia Chevalier</i>	Date Considered	1-18-05
--------------------	-------------------------	-----------------	---------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the complete application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1448/PTO

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

Application Number	10/706,211
Filing Date	November 12, 2003
First Named Inventor	Richard L. Bonkowski
Art Unit	Unknown 1772
Examiner Name	Unknown A. CHEVALIER
Attorney Docket Number	48930-01701

Sheet 4 of 5

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
AE	63	John M. McKiernan, et al., "Luminescence and Laser Action of Coumarin Dyes Doped in Silicate and Aluminosilicate Glasses Prepared by the Sol-Gel Technique," Journal of Inorganic and Organometallic Polymers, Vol. 1, No. 1, 1991, pages 87-103.
	64	Jeffery I. Zink, et al., "Optical Probes and Properties of Aluminosilicate Glasses Prepared By The Sol-Gel Method," Polym. Mater. Sci. Eng., pages 204-208 (1989).
	65	Don W. Tomkins, Kurz Hastings, "Transparent Overlays for Security Printing and Plastic ID Cards," Pages 1-8, November, 1997.
	66	The Mearl Corporation brochure for "Mearl Iridescent Film," Peekskill, NY.
	67	J.A. Dobrowolski; K.M. Baird; P.D. Carman; and A. Waldorf, "Optical Interference Coatings for Inhibiting of Counterfeiting," Optica Acta, 1973, Vol. 20, No. 12, 925-937.
	68	The R.D. Mathis Company Manual for "Thin Film Evaporation Source Reference," Long Beach, CA.
	69	Minolta Manual for "Precise Color Communication, Color Control From Feeling to Instrumentation," Pages 18, 20, 22, 23, 46, 47, 48 and 49.
	70	Frans Defilet, LGZ Landis & Gyr Zug Corporation, "Kinegrams 'Optical Variable Devices' (OVD's) For Banknotes, Security Documents and Plastic Cards," San Diego, April 1-3, 1987
	71	S.P. McGrew, "Hologram Counterfeiting: Problems and Solutions," SPIE, Volume 1210 Optical Security and Anticounterfeiting Systems, 1990, Pages 66-76.
	72	Rudolf L. van Renesse, "Security Design of Valuable Documents and Products," SPIE, Vol. 2659, June 1996, Pages 10-20.
	73	Steve McGrew, "Countermeasures Against Hologram Counterfeiting," Internet site www.iea.com/nli/publications/countermeasures.htm , January 6, 2000.
	74	Roger W. Phillips, "Optically Variable Films, Pigments, and Inks," SPIE Vol. 1323, Optical Thin Films III: New Developments, 1990, Pages 98-109.
AC	75	Roger W. Phillips, and Anton F. Bleikolm, "Optical Coatings for Document Security," Applied Optics, Vol. 35, No. 28, October 1, 1996, Pages 5529-5534.

Examiner Signature	<i>Alicia Cavalier</i>	Date Considered	1-18-08
--------------------	------------------------	-----------------	---------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the complete application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

#162398 v1